

महाराष्ट्र MAHARASHTRA

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WR 631439



श्रीमती उलका पाटील

# MEMORANDUM OF UNDERSTANDING (MoU)

### **BETWEEN**

S.I.W.S. N. R. SWAMY COLLEGE OF COMMERCE & ECONOMICS AND

SMT. THIRUMALAI COLLEGE OF SCIENCE Plot No. 337, Sewri Wadala Estate, Major R. Parmeshwaran Marg, Wadala, Mumbai 400 031

#### AND

Center for Digital & Financial Learning (CDFL) Building No. 17/814 GTB Nagar, Mumbai – 400 037

for training students for Mutual Fund Distributors Certification Examination conducted by

National Institute of Securities Markets (NISM)

PRINCIPAL
S.I.W.S. N.R. Swamy College of
Commerce & Economics and
Smt. Thirumalai College of Science,
Wadala, Mumbai - 400 031



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This is to certify that S.I.W.S. N. R. SWAMY COLLEGE OF COMMERCE & ECONOMICS AND SMT. THIRUMALAI COLLEGE OF SCIENCE, Plot No. 337, Sewri Wadala Estate, Major R. Parmeshwaran Marg, Wadala, Mumbai 400031 (hereinafter called S.I.W.S. College), affiliated to The University of Mumbai is associating with Center for Digital & Financial Learning (CDFL) for training students for Mutual Fund Distributors Certification Examination conducted by National Institute of Securities Markets (NISM)

### **TERMS AND CONDITIONS**

## **Responsibilities of CDFL**

The program shall be of 30 hours.

Virtual training by industry expert trainers

Quizzes and Practice test

Maintaining attendance of the students

Operational support for NISM registration and scheduling of the exam

Online material on practical aspects of Mutual Fund Distribution

All important points to remember for the examination

Free one attempt to clear NISM Certification Exam

Practical aspects of applying the concepts in real-life scenarios

There will be no fees charged from the students

# Responsibilities of SIWS College

Conduct orientation for the programme

Support from higher authorities to motivate students to register for the programme and attend training programme and periodic tests.

Permissions to conduct sessions for the students

#### **Tenure**

These Terms and Conditions shall be in full force and effect for a period beginning with **25**<sup>th</sup> **August 2020** by the CDFL Team & College Management till **31**<sup>st</sup> **May 2023** including any automatic extension unless terminated pursuant to the terms hereof.

### **Course Timings**

Mode of Sessions - Online Webinar (Zoom Meet)

Afternoon and evening Batches will be made based on number of students registered for the programme.

## **Course Outline**

- Chapter 1: Investment Landscape
- Chapter 2: Concept and Role of Mutual Fund
- Chapter 3: Legal Structure of Mutual Funds in India
- Chapter 4: Legal and Regulatory Framework
- Chapter 5: Scheme Related Information
- Chapter 6: Fund Distribution and Channel Management Practices
- Chapter 7: Net Asset Value, Total Expense Ratio and Pricing of Units
- Chapter 8: Taxation
- Chapter 9: Investor Services
- Chapter 10: Risk, Return and Performance of Funds
- Chapter 11: Mutual Fund Scheme Performance
- Chapter 12: Mutual Fund Scheme Selection

## **Certification of the Course**

SIWS College and CDFL will provide certificate to all eligible students qualified for examination conducted by NISM.

# **Entire Understanding**

These Terms and Conditions, constitute the entire understanding of the parties and replaces any and/or all prior agreements, representations, understandings and offers to the subject matter hereof, whether oral or in writing.

IN WITNESS WHEREOF:

Dr. Usha Sukumar Iyer

Principal

**SIWS College** 

PRINCIPAL S.I.W.S. N.R. Swamy College of Commerce & Economics and Smt. Thirumalai College of Science, Wadala, Mumbai - 400 031

Dated: 25<sup>th</sup> August 2020

Dr. Vivek Gupta

**Authorised Signatory** 

**Center for Digital & Financial Learning (CDFL)** 

Dated: 25<sup>th</sup> August 2020